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NO. 848 P. 1/3

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FAX INFORMATION SHEET

Date: March 1, 2007
61029
Identifier: 124256.00401

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USPTO			571-273-8300

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Comments: Attached is a status check for Application No. 10/686,462.

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NO. 848 P. 2/3

PATENT APPLICATION
Application No.: 10/686,462
Paper Dated: March 1, 2007
Attorney Docket No.: 124256.00401

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Steven Kirk Donoho, et al. : Confirmation No.: 7085
Application No.: 10/686,462 : Group Art Unit: 3621
Filed: October 15, 2003 : Examiner: Not Yet Assigned
For: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND INVESTOR
RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

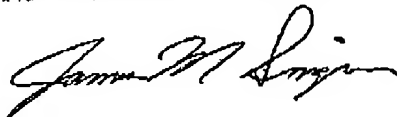
STATUS CHECK

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A patent application for the above-identified invention was filed October 15, 2003. The United States Patent and Trademark Office subsequently issued a Corrected Filing Receipt on September 29, 2004. Applicants respectfully request the status of this application at your earliest convenience.

Respectfully submitted,
PEPPER HAMILTON LLP



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NO. 848 P. 3/3

MAR 01 2007

PATENT APPLICATION
Application No.: 10/686,642
Paper Dated: March 1, 2007
Attorney Docket No.: 124256.00401

CERTIFICATE OF FACSIMILE UNDER 37 C.F.R. § 1.6 & § 1.8

In re Application of : Steven Kirk Donoho, et al.

Application No. : 10/686,462

Filed : October 15, 2003

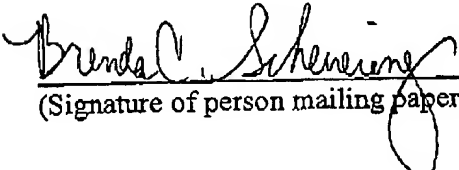
Group Art Unit No. : 3621

Examiner : Not Yet Assigned

For: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND INVESTOR
RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

I hereby certify that this "STATUS CHECK" is being sent via facsimile to fax number
571-273-8300 under 37 C.F.R. §1.6 & §1.8 on March 1, 2007.

Brenda C. Scheuering
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